



# Old Mutual International Investment Portfolio+

## Additional Investment

Individual Investor

January 2024

Existing contract number:

### Financial adviser's details

Adviser name	
Company name	
E-mail	
OMLAC(SA) code (if applicable)	
OMI Adviser code	
Contact person	
Contact number	
FAIS licence number	
Offshore Specialist	

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Old Mutual Isle of Man only accepts business introduced by intermediaries which have Terms of Business with us. All introducers must be appropriately FAIS licensed.

The Old Mutual International Investment Portfolio+ (IP+) is a Capital Redemption Contract issued as long-term insurance business under the Isle of Man Insurance Act 2008 by Old Mutual Isle of Man Branch of Old Mutual Life Assurance Company (South Africa) Limited, ("Old Mutual Isle of Man"). This form should be read & understood in conjunction with the IP+ Brochure, IP+ Fund List & the IP+ General Conditions.

#### Please note the following:

- Details of any amendments following completion and signing of the application must be supported by an e-mail from the applicant(s) or their adviser with the applicant(s) included as an addressee confirming the changes. If the changes are significant, additional verification documentation may be requested.
- Any incomplete information will need to be confirmed in writing.





Old Mutual Life Assurance Company South Africa (OMLACSA) has provided details of all our Privacy Notices to you via our literature and General terms and conditions. Our full Privacy Policy can be viewed on section H.

## A Applicants Details

Applicant 1		Applicant 2	
<b>Title (√)</b>	<input type="radio"/> Mr <input type="radio"/> Mrs <input type="radio"/> Miss <input type="radio"/> Other:	<input type="radio"/> Mr <input type="radio"/> Mrs <input type="radio"/> Miss <input type="radio"/> Other:	
	<input type="text"/>	<input type="text"/>	
<b>Full forename(s)</b>	<input type="text"/>	<input type="text"/>	
<b>Full surname(s)</b>	<input type="text"/>	<input type="text"/>	
<b>Previous name(s) or alias(s)</b> <small>(e.g. maiden name)</small>	<input type="text"/>	<input type="text"/>	
<b>E-mail address</b> (mandatory)	<input type="text"/>	<input type="text"/>	
<b>Stand/Plot/Erf number</b>	<input type="text"/>	<input type="text"/>	
<b>Current residential address</b> <small>(Where you currently live. This address must match the address given in the document to verify residential address.)</small>	<input type="text"/>	<input type="text"/>	
	<b>Postcode</b>	<b>Postcode</b>	
<b>Country where currently residing</b>	<input type="text"/>	<input type="text"/>	
<b>Permanent Residential Address</b> <small>(Required if you are temporarily residing outside of South Africa, state address details of your permanent residence)</small>	<input type="text"/>	<input type="text"/>	
	<b>Postcode</b>	<b>Postcode</b>	
<b>Country of permanent residence</b>	<input type="text"/>	<input type="text"/>	
<b>Mobile number</b>	<input type="text"/>	<input type="text"/>	
<b>Another contact number</b> (optional)	<input type="text"/>	<input type="text"/>	
<b>Reason for Additional Investment</b>	<input type="radio"/> Diversification of asset <input type="radio"/> Saving for the future <input type="radio"/> Saving for retirement <input type="radio"/> Estate planning <input type="radio"/> Other	<input type="radio"/> Diversification of asset <input type="radio"/> Saving for the future <input type="radio"/> Saving for retirement <input type="radio"/> Estate planning <input type="radio"/> Other	

### Politically Exposed Persons (PEP)

PEP (Politically Exposed Person); i.e. a person who holds or has held high political office, or is or has been employed in a prominent public capacity, or the applicant is closely related to or associated with such a person. If the applicant(s), or any other party connected to this application either now or in the past/future, could be classed as a PEP, or connected with a PEP, please provide details.

**Applicant 1**    Yes    No

**Applicant 2**    Yes    No

**Reason for PEP status**

**Please note that Old Mutual Isle of Man will need further documentary evidence on the origin of wealth to support applications from parties who are, or are connected to PEPs.**

For additional applicants, please photocopy Section A, attach the details with this Application Form and tick here (√)



## A Details of all individual Applicants (continued)

THIS SECTION MUST BE COMPLETED IN ALL INSTANCES.

### Employment Details

#### Applicant 1

<b>Employment Status (√)</b>	<input type="radio"/> <b>Employed</b> <input type="radio"/> <b>Self-Employed</b> <input type="radio"/> <b>Retired</b> <input type="radio"/> <b>Unemployed</b> <input type="radio"/> <b>Never been employed</b>								
<b>Occupation</b> (incl previous Occupation if Retired or Unemployed)									
<b>If Employed</b> (Name of employer or your company name)									
<b>If Self-employed</b> (Trading As name)									
<b>Name of employer or name of your own company or employer name prior to retirement/unemployment</b>									
<b>Address of employer or your own company – also applicable if Retired or Unemployed</b>									
	<b>Postcode</b>								
<b>Country</b>									
<b>Date of retirement/Date of unemployment</b> (If applicable)	<table border="1"> <tr> <td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> </table>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y		
<b>Industry or Nature of Business:</b> (incl previous Industry if Retired or Unemployed)									
<input type="radio"/> Administrative and Support service <input type="radio"/> Agriculture/Forestry and Fishing <input type="radio"/> Animals & Conservation <input type="radio"/> Arms Manufacturers and Dealers <input type="radio"/> Art/Antique/Jewelry Dealer									
<input type="radio"/> Arts/Entertainment and Recreation <input type="radio"/> Atomic/Nuclear power generation <input type="radio"/> Construction and Infrastructure <input type="radio"/> Cryptocurrency Assets <input type="radio"/> Design & Architecture									
<input type="radio"/> Education/Healthcare and Social work <input type="radio"/> Energy & Solar <input type="radio"/> Financial, Insurance and Banking <input type="radio"/> Gambling Industry (Casino, slot machines, betting) <input type="radio"/> Hospitality									
<input type="radio"/> Imports/Exports <input type="radio"/> Information/Communication, Media and Technology <input type="radio"/> Manufacturing (Import/Export) <input type="radio"/> Manufacturing (Non-Import/Export) <input type="radio"/> Marketing/Advertising									
<input type="radio"/> Mining: Extraction of precious metals and minerals <input type="radio"/> Motor trade/dealership <input type="radio"/> Private Military Contractors <input type="radio"/> Professional Services <input type="radio"/> Public Sector: Defence and Safety									
<input type="radio"/> Public Sector: Procurement, Infrastructure and Administration <input type="radio"/> Real Estate: Development, Sales and/or Letting <input type="radio"/> Regulated/Registered charities/NPO/NGO <input type="radio"/> Science and Laboratories <input type="radio"/> Scrap metal Dealer									
<input type="radio"/> Trades & Service <input type="radio"/> Transporting, Storage and Logistics (excluding imports/exports) <input type="radio"/> Unregulated/Unregistered charities/NPO/NGO <input type="radio"/> Utilities <input type="radio"/> Wholesale and Retail trade									
<input type="radio"/> Other: <input type="text"/>									
<b>Position</b> (incl previous position held if Retired or Unemployed)									
<input type="radio"/> Managing director/CEO <input type="radio"/> Executive management/Director <input type="radio"/> Senior management <input type="radio"/> Non-senior management <input type="radio"/> General Employee/Non-management									
<input type="radio"/> High ranking officer <input type="radio"/> Senior Government official (e.g, Judge, Magistrate, Politician)									
<input type="radio"/> Other: <input type="text"/>									



## A Details of all individual Applicants (continued)

### Applicant 2

<b>Employment Status (√)</b>	<input type="radio"/> <b>Employed</b> <input type="radio"/> <b>Self-Employed</b> <input type="radio"/> <b>Retired</b> <input type="radio"/> <b>Unemployed</b> <input type="radio"/> <b>Never been employed</b>								
<b>Occupation</b> (incl previous Occupation if Retired or Unemployed)									
<b>If Employed</b> (Name of employer or your company name)									
<b>If Self-employed</b> (Trading As name)									
<b>Name of employer or name of your own company or employer name prior to retirement/unemployment</b>									
<b>Address of employer or your own company – also applicable if Retired or Unemployed</b>									
	<b>Postcode</b>								
<b>Country</b>									
<b>Date of retirement/Date of unemployment</b> (If applicable)	D	D	M	M	Y	Y	Y	Y	
<b>Industry or Nature of Business:</b> (incl previous Industry if Retired or Unemployed)									
<input type="radio"/> Administrative and Support service	<input type="radio"/> Agriculture/Forestry and Fishing	<input type="radio"/> Animals & Conservation	<input type="radio"/> Arms Manufacturers and Dealers	<input type="radio"/> Art/Antique/Jewelry Dealer	<input type="radio"/> Arts/Entertainment and Recreation	<input type="radio"/> Atomic/Nuclear power generation	<input type="radio"/> Construction and Infrastructure	<input type="radio"/> Cryptocurrency Assets	<input type="radio"/> Design & Architecture
<input type="radio"/> Education/Healthcare and Social work	<input type="radio"/> Energy & Solar	<input type="radio"/> Financial, Insurance and Banking	<input type="radio"/> Gambling Industry (Casino, slot machines, betting)	<input type="radio"/> Hospitality	<input type="radio"/> Imports/Exports	<input type="radio"/> Information/Communication, Media and Technology	<input type="radio"/> Manufacturing (Import/Export)	<input type="radio"/> Manufacturing (Non-Import/Export)	<input type="radio"/> Marketing/Advertising
<input type="radio"/> Mining: Extraction of precious metals and minerals	<input type="radio"/> Motor trade/dealership	<input type="radio"/> Private Military Contractors	<input type="radio"/> Professional Services	<input type="radio"/> Public Sector: Defence and Safety	<input type="radio"/> Public Sector: Procurement, Infrastructure and Administration	<input type="radio"/> Real Estate: Development, Sales and/or Letting	<input type="radio"/> Regulated/Registered charities/NPO/NGO	<input type="radio"/> Science and Laboratories	<input type="radio"/> Scrap metal Dealer
<input type="radio"/> Trades & Service	<input type="radio"/> Transporting, Storage and Logistics (excluding imports/exports)	<input type="radio"/> Unregulated/Unregistered charities/NPO/NGO	<input type="radio"/> Utilities	<input type="radio"/> Wholesale and Retail trade	<input type="radio"/> Other: <input type="text"/>				
<b>Position</b> (incl previous position held if Retired or Unemployed)									
<input type="radio"/> Managing director/CEO	<input type="radio"/> Executive management/Director	<input type="radio"/> Senior management	<input type="radio"/> Non-senior management	<input type="radio"/> General Employee/Non-management	<input type="radio"/> High ranking officer	<input type="radio"/> Senior Government official (e.g, Judge, Magistrate, Politician)	<input type="radio"/> Other: <input type="text"/>		

For additional applicants, please photocopy Section A, attach the details with this Application Form and tick here (√)



## B1 Description of Current Wealth

### Overall Wealth

**Important:** In order to manage your account, Old Mutual Isle of Man needs to understand your wealth and how it was acquired.

**Overall Wealth** – please select the applicable option(s):

- Family/generational and personal wealth** (e.g. Inheritance, Gift/donation, Sale of property)
- Income, revenue and business activities** (e.g. Accumulated income, Salary, Bonus, Sale of interest in company, Dividends, Distribution from trust)
- Investment Activities** (e.g. Policies, Pension settlement, Sale of shares)

### Source of Income

#### Income

If you are retired or unemployed, please provide details of your salary/income prior to retirement or unemployment.

Income from current Employment: Please give an indication of the last year's combined (where applicable) salary/income/bonus:

Salary/Income:	Bonus:	Your additional annual income	Description of additional annual income and amount in relevant currency
<input type="radio"/> <R500,000	<input type="radio"/> <R500,000	<b>Example:</b> <b>Pension payment, Dividends, Interest, Property</b> (rental income)	
<input type="radio"/> R500,001 - R1,500,000	<input type="radio"/> R500,001 - R1,500,000		
<input type="radio"/> R1,500,001 - R3,000,000	<input type="radio"/> R1,500,001 - R3,000,000		
<input type="radio"/> R3,000,001 - R5,000,000	<input type="radio"/> R3,000,001 - R5,000,000		
<input type="radio"/> >R5,000,001	<input type="radio"/> >R5,000,001		

## B2 Source of Funds – Activity generating the contribution of the Investment

**Please note: select the applicable option and then complete the information required.**

<input type="radio"/> <b>Savings</b>	Name of bank								
	Name of person who held the savings								
	Bank account number								
	Detail on how savings were accumulated, e.g. salary/bonus or any other source held in your bank account								
	Total amount of savings received (specify currency)								
	How long was the savings held for?	M	M	Y	Y				
<input type="radio"/> <b>Investment/insurance</b>	Name of company/financial institution (e.g. Investment house or insurance company)								
	Name of person who held the investment								
	Investment/policy number								
	Total amount paid out (specify currency)								
	Date liquidated	D	D	M	M	Y	Y	Y	Y
	How long was the investment/policy held for?	M	M	Y	Y				



## B2 Source of Funds – Activity generating the contribution of the Investment (continued)

Please note: select the applicable option and then complete the information required.

<input type="radio"/> <b>Inheritance</b>	Full name and surname of the deceased											
	Relationship to the deceased											
	Date of death	D	D	M	M	Y	Y	Y	Y			
	How was the wealth of the deceased accumulated, e.g. savings during lifetime, retirement funds, sale of properties, etc?											
	Amount received (specify currency)											
	Date received	D	D	M	M	Y	Y	Y	Y			
<input type="radio"/> <b>Gift/donation/loan from an individual</b>	Name of donor (proof of identification and residential address to be provided)											
	Relationship to donor											
	Origin of wealth (summary of how the wealth was acquired and accumulated by the donor)											
	Amount received (specify currency)											
	Date received	D	D	M	M	Y	Y	Y	Y			
<input type="radio"/> <b>Sale of property and/or company</b>	Name of company											
	Address of property/company sold											
	Connection to company/property (e.g. owner, shareholder)											
	Nature of business (if company sale)											
	Date of sale	D	D	M	M	Y	Y	Y	Y			
	Amount received from sale (specify currency)											
	Date received	D	D	M	M	Y	Y	Y	Y			
<input type="radio"/> <b>Distribution from a family trust</b>	Name of trust											
	Name of donor											
	Relationship to the trust											
	Information on source of funding/ immediate activity generating the funds for the distribution (where did the funds originate from that are being distributed)											
	How was the wealth of the settlor/donor accumulated to create/fund the trust?											
	Amount received (specify currency)											
	Date received	D	D	M	M	Y	Y	Y	Y			
<input type="radio"/> <b>Dividends</b>	Name of company											
	Name of person who dividends were paid to											
	Total amount of savings received (specify currency)											
	Date received	D	D	M	M	Y	Y	Y	Y			



## B2 Source of Funds – Activity generating the contribution of the Investment (continued)

<input type="radio"/> <b>Loan/loan repayment</b>	Name of company	
	Relationship to company	
	Amount received (specify currency)	
	Date received	D D M M Y Y Y Y
<input type="radio"/> <b>Pension Settlement</b>	Name of company/financial institution	
	Name of person who held the pension	
	Investment/policy number	
	Total amount paid out (specify currency)	
	Date received	D D M M Y Y Y Y
<input type="radio"/> <b>Other</b> (additional evidence and information may be required)	Origin of wealth	
	Summary of how the wealth was acquired and accumulated	
	Amount received (specify currency)	
	Date received	D D M M Y Y Y Y
	Detailed explanation of activity	

### Additional requirements:

Old Mutual Isle of Man reserves the right to request any further information/documentation.

### Additional Notes:

## B3 Bank details funding the contribution

### This section must be fully completed in all instances.

The investment amount will be invested after all requirements have been met and, where applicable, after the SARS (South African Revenue Service) Application for International Transfer (AIT) has been received.

### Source of premium account funding the payment to Old Mutual Isle of Man

#### Please detail the account from which payment is being made to Old Mutual Isle of Man. Please complete (a) and/or (b) as appropriate.

For Contributions from multiple bank accounts, please photocopy this page and complete for each bank account.

#### (a) Payment from Applicant

#### If payment is made from outside of your tax residency/where you are residing, please select the applicable option:

If the payment originates out of a high-risk jurisdiction, Old Mutual Isle of Man reserves the rights to request additional supporting documentation.

- I live(d) in that country   
 I work(ed) in that country   
 My family live in that country   
 I travel to that country   
 I do business in that country   
 I own property in that country

I need it for transaction purposes (provide a reason why this country was chosen)

Other (please provide a reason if other is selected)




## B3 Bank details funding the contribution (continued)

<b>Payment amount</b>		<b>Payment currency</b>	
<b>Bank name</b>			
<b>Bank address</b>			
	<b>Postcode</b>		
	<b>Country</b>		
<b>Account holder's name</b> (as stated on bank account)			
<b>SWIFT or BIC Code**</b> (If applicable)		<b>Sort code</b> (If applicable)	
<b>Bank account number/IBAN*</b>			
<b>Bank account currency</b>			

\* IBAN stands for International Bank Account Number and is always used in conjunction with a bank identifier code (BIC).

\*\* A sort code is used in the UK in conjunction with a bank account number. A SWIFT code is used outside Europe in conjunction with a bank account number.

A BIC code is used in Europe in conjunction with an IBAN.

**Foreign Exchange (FX)** (Please ensure this section is completed where an FX provider is used and differs to the bank detailed above).

Premium being paid using an FX provider

Bank name

Account opened to facilitate tax clearance and currency conversion, therefore account details are not known.

### (b) Payment from third-party

Third-party payments, such as payments made by persons other than the Applicant(s), are not normally acceptable. However, we may accept payments in the following situations and with the following additional documentation. Please tick as applicable (√) Please ensure that any third party payment is correctly referenced to the applicant(s) or the policy.

**Payment from an account in the applicant(s) name at a reputable, regulated financial institution.**

Copy documentation showing details of the investment and the payment transfer may be requested.  
(Note: payment from unregulated companies or from certain jurisdictions may not be accepted)

Name of financial institution

Country

**Payment by one spouse to another spouse for investment not in Payor's name.**

The Payor's valid passport or national identity card plus residential address evidence. Please complete the Payor's details in section (a) above. Source of wealth of spouse may be requested.

**For each individual payor, you will need to provide a certified copy of the valid passport or national identity card and evidence of residential address.**

**Payment from an executor as a result of an inheritance, a settlement, a property sale, or other legitimate source.**

A letter (or certified copy) from the executor confirming the source of funds, copy of Will, copy of Letter of Executorship, copy of correctly certified identification and proof of residential address.

Name of Executor

For additional applicants, please photocopy Section B, attach the details with this Application Form and tick here (√)





## C Contribution and Investment Options

### Contribution

Please complete your additional Contribution(s) below:

Currency (√)	Amount
<input type="radio"/> US\$	<input type="text"/>
<input type="radio"/> £	<input type="text"/>
<input type="radio"/> €	<input type="text"/>
<input type="radio"/> CHF	<input type="text"/>
<input type="radio"/> AU\$	<input type="text"/>
<input type="radio"/> Or enter the ZAR amount	<input type="text"/>

Minimum additional investment amounts:

£ 5,000 or 7,500 for other currencies (except ZAR)

Please refer to section 3.8.4 in the General Conditions for more details on the maximum additional investments allowed.

Depending on your investment selection, the additional Contribution will be invested in Direct Funds/Model Portfolios and/or transferred to the Transaction Account with your selected Authorised Custodian, awaiting investment instructions. Therefore there may be a delay between our acceptance of your additional Contribution and it being invested.

### Investment

Please indicate whether you wish your additional investment to be invested:

- As per the instructions below: Please confirm the currency and % to be held in the Authorised Custodian Facility in the table below.
- As per existing investment held
- New Authorised Custodian (please also complete Change of Authorised Custodian form)
- New Model Portfolio

Please indicate the Contributions selected below. Please refer to the Investment Portfolio+ Fund list for relevant Fund Codes.

Code	Direct Funds/Model Portfolios/Authorised Custodian	Currency	Proportion %
<b>Authorised Custodian*</b>			
<b>Transaction Account percentage**</b>			
<b>Total</b>			<b>100%</b>

\* Please provide the asset statement containing the asset name, Sedol/ISIN Number/Book Value/units & custodian currency. (Please complete sections G, H & Letter of Authority).

\*\* Please indicate the % of your Contribution that should be kept in your Transaction Account held with Old Mutual Isle of Man to cover ongoing charges. This Transaction Account is a non-interest bearing account and therefore no interest will be paid for any cash balance Where applicable – It is yours or your Discretionary Investment Manager's responsibility to ensure liquid assets are available to pay ongoing charges.

**Please note: If your additional investment amount results in the total investment amount being greater than £60 000 (or currency equivalent of) and you wish to add an Authorised Custodian Facility, you must please complete the Change of Authorised Custodian form. For Model Portfolios please include the signed copy of the mandate between the Discretionary Investment Manager and the Contract Holder/s.**



## D Appointing a Model Portfolio Manager

If a Model Portfolio has been selected as an Investment choice please acknowledge by completing the applicant(s) name.

I  have attached the required signed copy of

the mandate between myself and the Model Portfolio Manager. I give authority to Old Mutual Isle of Man to do the following on my behalf:

1. Allow the Model Manager to switch between funds within the selected Model Portfolio/s
2. Pay the Model fee to the Model Manager by making partial surrenders from my Plan (refer to the Investment Portfolio+ General Conditions).

## E Charges

**Please see Terms 18 to 21 of the General Conditions for information on charges which may apply to your Investment Portfolio+ or speak to your financial adviser.**

The charging option and currency that you chose for your Investment Portfolio+ cannot be changed now that it has started and will apply to any additional Contributions which are made. We do however require you to confirm the initial Commission/Advice Fee to be applied for this Additional Contribution below.

Please refer to the Investment Portfolio+ Key Features document and General Conditions for fee information.

### Financial Adviser Commission and Fees

Please enter any initial Commission/Advice Fee (maximum of 3%) to be paid for this Additional Contribution

 %

**(VAT will automatically be added if applicable)**

The existing Investment Review Fee (IRF) will continue to apply to the contract. If you wish to change the IRF, please submit a Change of Investment Review Fee form.

## F Financial Adviser Declaration

### I declare that:

1. I am aware and understand the contents of the Data Privacy Notices and the Conflict of Interest Disclosure Notice.
2. Old Mutual Isle of Man and our financial advisers have a duty to act in your, and the clients', interest when you are the recipient of our financial advice.

Not only should we be objective and professional, but we must also avoid any conflict of interest or manage the conflict if it cannot be avoided. Lastly, we must disclose any potential conflicts of interest to you.

We ensure that we deliver on the above through our financial advice process, of which this disclosure notice is a part. Full disclosure of any potential conflicts of interest enables you to make informed financial decisions. I have established and verified the identity of my client in accordance with the Financial Intelligence Centre Act and its regulations.

3. I have taken reasonable steps to make sure that the funding is legitimate and in line with the applicant's circumstances.
4. To the best of my knowledge and belief, all the information provided in and with this application is true and complete and was obtained from the applicant(s) who is/are of good standing. I also confirm that I will provide further information if required.
5. I have not made any changes to the Application Form after the applicant(s) has signed it.
6. In the case of a policy replacement, I have explained the meaning and implications of the replacement to my client. The client is fully aware of the potentially harmful consequences of the replacement of an investment. I have attached the completed and signed generic Replacement Policy Advice Record provided by ASISA.

I confirm that no commission shall be payable in the event of a switch from one IP+ investment option to another resulting in the issuing of a new IP+ policy (where applicable).

7. I met the client: In person  or virtually online

I confirm that I gave advice concerning this investment to the applicant(s) in

 country

on

I confirm that all information provided was received directly from the applicant(s).

Signature of financial adviser

Date



## G Declaration and application

**THIS SECTION MUST BE READ, UNDERSTOOD AND COMPLETED IN ALL CASES.**

### TAX RESIDENCY DETAIL

Each country has its own rules for whether a person is resident for tax purposes or not. It is possible to be resident for tax purposes in more than one country. Depending on the rules of the country, tax residency may be determined by factors such as where you permanently live, where you were born, or if you live and work in the country for more than half the year. If you are a USA citizen or hold a USA passport or green card, you will also be considered resident for tax purposes in the USA even if you live outside the USA, unless you have given up your citizenship.

	Applicant 1	Applicant 2
<b>1. SA Resident for Tax</b>	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No
Income Tax Reference Number		
<b>2. USA citizen and/or Tax Resident</b>	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No
Income Tax Reference Number		
<b>3. Tax Resident in any other Country</b>	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No
Country		
Income Tax Reference Number		

### I declare that:

- The information provided above is correct. If I am a tax resident of any country other than or in addition to South Africa, I have stated this above. I undertake to advise Old Mutual Isle of Man within 30 days should information contained in this form change.
- The Premium detailed in this application and any other premium tendered in respect of this application are derived solely from the source of funding provided and have, where required, been declared to the relevant tax authority in my country of residence for taxation.
- The application for an Old Mutual Isle of Man policy is not being made for the purpose of concealing funds, assets or wealth with a view to the evasion of any taxes I am obliged to pay.
- The information provided is correct and true and that I will immediately inform Old Mutual Isle of Man of any change in the information provided for update, including a change in address or residency.

**I CONFIRM THAT I HAVE READ AND UNDERSTOOD ALL DETAILS SUPPLIED IN THE APPLICATION FORM IN ITS ENTIRETY AND UNDERSTAND ITS IMPLICATIONS AS WELL AS THE INFORMATION INCLUDED IN THE KEY FEATURES AND GENERAL CONDITIONS DOCUMENTS RESPECTIVELY.**

### Signature(s) of Applicant(s)

Signature of applicant 1	Date	D	D	M	M	Y	Y	Y	Y
Country where signed									
Signature of applicant 2 (if any)	Date	D	D	M	M	Y	Y	Y	Y
Country where signed									

**Please note that we may require additional documentation to verify the applicant(s) identity and address if the documentation we currently hold does not meet with any current regulatory requirements.**

For additional applicants, please photocopy and attach the details with this Application Form and tick here (✓)



### **Old Mutual Isle of Man Branch Privacy Notice:**

The Company: Old Mutual Isle of Man Branch of Old Mutual Life Assurance Company (South Africa) Limited

Jurisdiction: Isle of Man

Contact details for the Data Protection Officer:

Telephone: +44 (0) 1624 690200

E-mail: [enquiries@impaction.com](mailto:enquiries@impaction.com)

Postal: 5A Village Walk, Onchan, Isle of Man, IM3 4EA

The Company processes your personal data and that of any other party whose personal data has been supplied to us, for the purpose of establishing and servicing the IP+ contract. Personal data will be processed and may be shared with other parties outside of the Old Mutual Group for the following purposes:

- (a) Check against credit reference or databases to verify information provided for regulatory due diligence purposes. Prevention and detection of crime including money laundering, terrorist financing, bribery, or fraud;
- (b) Allow for the provision of services relating to reinsurance, data hosting, online services, payment or reporting of any tax or levy, or provision of any other services to Contract Holders from time to time;
- (c) Enable your appointed financial adviser to assist in the provision of services to the Contract Holder;
- (d) Compile statistical analysis or market research, where information is not specific to an individual.
- (e) Comply with any legal obligation which includes the releasing of personal data to regulators, law enforcement authorities or other bodies where there is a legal requirement to do so.

### **Transfer of data**

Personal data may be shared or transferred both within the Isle of Man and the European Economic Area (EEA) and outside the EEA with members of the Old Mutual Group (OMG) and third parties who provide services to OMG. It should be noted that countries outside the EEA do not necessarily have data protection legislation equivalent to those that apply within the Isle of Man or EEA. In such instances, we will ensure that the transferred personal data is protected by a data transfer contract.

### **How to withdraw consent**

You can withdraw your consent for us holding your personal information at any time. Should you withdraw your consent, preventing the processing of your personal data, this may impact the service we provide, or stop us from administering your contract.

### **Privacy policy**

You will find parts of this notice included as part of our full privacy notice on our website

([www.omi-int.com/privacyPolicy.html](http://www.omi-int.com/privacyPolicy.html)) and in the Investment Portfolio+ General Conditions.

### **Data Protection Officer (DPO)**

You can contact the DPO for a full copy of our privacy notices using the contact details above.

### **Old Mutual Life Assurance Company South Africa (OMLACSA) Privacy Notice:**

The Old Mutual Group may use your information or obtain information about you for the following reasons:

- (a) Underwriting;
- (b) Assessment and processing of claims;
- (c) Credit searches and/or verification of personal information;
- (d) Claims checks (ASISA Life and Claims Register);
- (e) Tracing beneficiaries;
- (f) Fraud prevention and detection;
- (g) Market research and statistical analysis;
- (h) Audit and record keeping purposes;
- (i) Compliance with legal and regulatory requirements;
- (j) Verifying your identity;
- (k) Sharing information with service providers we engage to process such information on our behalf or who render services to us.  
These service providers may be abroad, but we will not share your information with them unless we are satisfied that they have adequate security measures in place to protect your personal information.

You may access your personal information that we hold and may also request us to correct any errors or to delete this information. In certain cases you have the right to object to the processing of your personal information.

You also have the right to complain to the Information Regulator, whose contact details are:

Website: <https://www.justice.gov.za/inforeg>

General enquiries: [inforeg@justice.gov.za](mailto:inforeg@justice.gov.za)

Complaints: [complaints.IR@justice.gov.za](mailto:complaints.IR@justice.gov.za)

To view our full privacy notice and to exercise your preferences, please visit – [www.oldmutual.co.za](http://www.oldmutual.co.za)



## I Supporting Documentation Required

Old Mutual Isle of Man is subject to anti-money laundering and countering terrorist financing legislation in South Africa and the Isle of Man, in terms of which applicants and related parties must submit proof of identity and verification of residential address. Outlined below are the standard minimum requirements. In some circumstances we may need additional information.

Please note that we will not be able to set the contract live until the necessary identification documentation has been provided.

### Verification of identity & Verification of proof of residence

A "selfie" of the contract holder/s holding their Identity Document (ID) along with a separate clear uncertified copy of the same ID OR A certified copy of identification will be required if the existing document held by Old Mutual Isle of Man has expired and/or changed. (If the new South African ID Card is submitted as Proof of Identity, please ensure that both sides of the ID card is submitted as the rear/back view of the ID Card reflects the date of issue).

An uncertified E-statement downloaded as a pdf, e.g. not printed and scanned before submitting OR a certified copy of the proof of residential address will be required if the existing document held by Old Mutual Isle of Man has changed. The document must not be older than 3 months indicating the residential address for each contract holder.



### Acceptable documents

- A recent utility, rates or council tax bill (cell phone bills not acceptable)
- A recent mortgage statement, evidencing the residential address
- A state pension, benefit or other government produced document showing benefit entitlement
- A recent tax assessment document
- A recent account statement from bank or credit card (store cards not acceptable)
- Proof of ownership or rental of the residential address

**Note:** If the statement or bill is in an e-format, it must clearly show the address of the property. E-bill must be downloaded to a PDF and sent by email.



### Certification Requirements

**In the certification, preferably but not necessarily in the form of a stamp, the certifier must:**

- State the capacity in which they are certifying the document, e.g., that they are a Commissioner of Oaths, Advocate, Lawyer, SA Attorney, Notary Public, an employee of Old Mutual International or any group company, an IFA or CFP regulated in SA, an Accountant or a Police Officer.
- Sign and date the certification, and provide their full name and contact details in the form of a physical address and include telephone number or email address.