



This Funds only Application Form is for individual applicants only.

If you are a trustee or corporate applicant you should use the alternative Application Form which is available from your financial adviser.

Old Mutual International Investment Portfolio+

Funds Only Application Individual Investor

August 2021

This Application Form should only be used when applying for the Old Mutual International Investment Portfolio+, a Capital Redemption Contract issued as long-term insurance business under the Isle of Man Insurance Act 2008 by Old Mutual Isle of Man Branch of Old Mutual Life Assurance Company (South Africa) Limited, ("Old Mutual Isle of Man") and read in conjunction with the Investment Portfolio+ Key Features, Fund List documents & the Investment Portfolio+ General Conditions.

To complete this form:

- Complete all relevant sections
- Do not use correction fluid
- Details of any amendments following completion and signing of the application must be supported by an e-mail from the client or their adviser with the client included as an addressee confirming the changes. If the changes are significant, additional verification documentation may be requested.
- Any incomplete information will need to be confirmed in writing by you to Old Mutual Isle of Man
- For Model Portfolios please attach a signed copy of the mandate between the Discretionary Investment Manager and the applicant/s.

Existing Investment Portfolio/Investment Portfolio+ number:

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Financial adviser's details

Name of financial adviser

OMLAC(SA) code (if known)

OMI Adviser code

Company name

Contact person

Contact number

E-mail address

FAIS licence number

OM Broker Consultant name (if applicable)

OM Broker Consultant number (if applicable)

OMI Offshore Specialist

Old Mutual Isle of Man only accepts business introduced by intermediaries which have Terms of Business with us. All introducers must be appropriately FAIS licensed.

Further details on Data Protection, Tax, Cancellation Rights & Complaints resolution process & Isle of Man Financial Services Ombudsman can be found in the Investment Portfolio+ General Conditions or on the Old Mutual International (OMI) website – www.omi-int.com



A Details of all individual applicants

In this section, please give details of all individual applicants. The maximum age is 89 and the form will be required to be signed by a Parent/Legal Guardian for applicants that are younger than 18.

If there are to be any further applicants, please photocopy Section A, attach the details with this Application Form and tick here (✓)
(The maximum number of Contract Holders is six.)

Please refer to pages 15 - 16 for Old Mutual International's Data Privacy Notices.

	Applicant 1	Applicant 2 (if any)																
Title (✓)	<input type="radio"/> Mr <input type="radio"/> Mrs <input type="radio"/> Miss <input type="radio"/> Other <input type="text"/>	<input type="radio"/> Mr <input type="radio"/> Mrs <input type="radio"/> Miss <input type="radio"/> Other <input type="text"/>																
Full forename(s)	<input type="text"/>	<input type="text"/>																
Full surname(s)	<input type="text"/>	<input type="text"/>																
Previous name(s) or alias(s) (eg., maiden name)	<input type="text"/>	<input type="text"/>																
Gender (✓)	<input type="radio"/> Male <input type="radio"/> Female	<input type="radio"/> Male <input type="radio"/> Female																
E-mail address (mandatory)	<input type="text"/>	<input type="text"/>																
Marital Status (Divorced; Married; Single; Widowed; Legally Separated; Common Law)	<input type="text"/>	<input type="text"/>																
Date of birth	<table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y	<table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y											
D	D	M	M	Y	Y	Y	Y											
Country of birth	<input type="text"/>	<input type="text"/>																
Nationality	<input type="text"/>	<input type="text"/>																
Dual Nationality (if applicable)	<input type="text"/>	<input type="text"/>																
SA ID number	<input type="text"/>	<input type="text"/>																
Passport number	<input type="text"/>	<input type="text"/>																
Country where currently residing	<input type="text"/>	<input type="text"/>																
Country of permanent residence	<input type="text"/>	<input type="text"/>																
Residential Address (Where you currently live. This address must match the address given in the document to verify residential address.)	<input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>																
	Postcode	Postcode																
Correspondence Address	<input type="radio"/> As above <input type="text"/> <input type="text"/> <input type="text"/>	<input type="radio"/> As above <input type="text"/> <input type="text"/> <input type="text"/>																
	Postcode	Postcode																
Permanent Residential Address (Required if you are temporarily residing outside of South Africa, state address details of your permanent residence)	<input type="radio"/> As above <input type="text"/> <input type="text"/> <input type="text"/>	<input type="radio"/> As above <input type="text"/> <input type="text"/> <input type="text"/>																
	Postcode	Postcode																
Stand/Plot/Erf number	<input type="text"/>	<input type="text"/>																
Telephone number	<input type="text"/>	<input type="text"/>																
Cell number	<input type="text"/>	<input type="text"/>																
Reason for Investment/ Purpose of account	<input type="text"/>	<input type="text"/>																



A **Details of all individual applicants** (continued)

THIS SECTION MUST BE COMPLETED IN ALL INSTANCES.

Employment Details

Please give details of your employer or your own company, if self-employed.

If you have retired or are not currently employed, please include details of your last/previous employer or your own company. If you have never been employed, please state N/A.

If there are any further applicants, please photocopy this page, attach details with this Application Form and tick here (√)

Applicant 1

Applicant 2

Type of employment (√) Employed Self-Employed Retired

Employed Self-Employed Retired

Occupation

Industry

Name of employer or your own company

Address of employer or your own company

Postcode

Postcode

Country

When did you retire? (if applicable)

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Politically Exposed Persons (PEP)

PEP (Politically Exposed Person); i.e. a person who holds or has held high political office, or is or has been employed in a prominent public capacity, or the applicant is closely related to or associated with such a person. If the applicant(s), or any other party connected to this application either now or in the past/future, could be classed as a PEP, or connected with a PEP, please provide details.

Applicant 1 Yes No

Applicant 2 Yes No

Reason for PEP status

Please note that Old Mutual Isle of Man will need further documentary evidence on the origin of wealth to support applications from parties who are, or are connected to PEPs.



B Beneficiary Nomination

1. The Nomination request ("the Nomination")

Where Applicants wish to transfer the ownership of the Contract on the death of the relevant Applicant, and this does not bring the Contract to an end.

2. Definitions

All terms and expressions used in the Investment Portfolio+ General Conditions shall have the same meaning and effect when used in this form and shall apply to this Nomination.

All terms and expressions which are not defined in the Investment Portfolio+ General Conditions are defined below:

Beneficiary The person(s), corporation or trustee identified in section 3, below.

Relevant Contract Holder The Contract Holder, or where there are two Contract Holders, the last of them to die.

Transfer Date The date of death of the Relevant Contract Holder, provided this occurs before the Investment Portfolio+ comes to an end by way of the total surrender or maturity.

The singular includes the plural, the masculine includes the feminine and vice versa, unless the context infers otherwise.

3. Beneficiaries

Listed below are the Beneficiaries who will be entitled to the Investment Portfolio+ and benefits arising on the Transfer Date.

Primary Beneficiaries

If there are more than 4 nominations, please photocopy this page and add it to the form.

* Total must add up to 100% across all Primary Beneficiary nominations

On the Transfer Date, the Investment Portfolio+ and all benefits and rights attached to it shall be transferred to the Primary Beneficiaries in the proportions indicated.

If one or more of the Primary Beneficiaries do not survive the Transfer Date, their share(s) will be distributed between the Primary Beneficiaries alive or still in existence on the Transfer Date and, if more than one, on a pro rata basis.

If no Primary Beneficiaries survive the Transfer Date, the Investment Portfolio+ and all benefits and rights attached to it shall be transferred to the Secondary Beneficiaries in the share(s) indicated.

Nomination 1

Beneficiary interest *

 %

Title (√)

 Mr Mrs Miss Other

Forename(s)

Full surname(s)

Previous Name(s) or Alias(s)
(e.g., maiden name)

Date of birth

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Relationship to Contract Holder

Current residential address

Country

Postcode

E-mail address

Nomination 2

 % Mr Mrs Miss Other

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Country

Postcode

Nomination 3

Beneficiary interest *

 %

Title (√)

 Mr Mrs Miss Other

Forename(s)

Full surname(s)

Previous Name(s) or Alias(s)
(e.g., maiden name)

Date of birth

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Relationship to Contract Holder

Current residential address

Country

Postcode

E-mail address

Nomination 4

 % Mr Mrs Miss Other

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Country

Postcode



B Beneficiary Nomination (continued)

Secondary Beneficiaries

If, on the Transfer Date, there are (i) no Primary or Secondary Beneficiaries then living or in existence or (ii) who, if then living disclaimed in writing their interest in the Investment Portfolio+, then the Investment Portfolio+ and all benefits and rights attached to it shall be transferred to the relevant Contract Holder's deceased estate.

Nomination 1

Beneficiary interest * %

Title (√) Mr Mrs Miss Other

Forename(s)

Full surname(s)

Previous Name(s) or Alias(s) (e.g., maiden name)

Date of birth

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Relationship to Contract Holder

Current residential address

Country

Postcode

E-mail address

Nomination 2

Beneficiary interest * %

Title (√) Mr Mrs Miss Other

Forename(s)

Full surname(s)

Previous Name(s) or Alias(s) (e.g., maiden name)

Date of birth

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Relationship to Contract Holder

Current residential address

Country

Postcode

E-mail address

Nomination 3

Beneficiary interest * %

Title (√) Mr Mrs Miss Other

Forename(s)

Full surname(s)

Previous Name(s) or Alias(s) (e.g., maiden name)

Date of birth

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Relationship to Contract Holder

Current residential address

Country

Postcode

E-mail address

Nomination 4

Beneficiary interest * %

Title (√) Mr Mrs Miss Other

Forename(s)

Full surname(s)

Previous Name(s) or Alias(s) (e.g., maiden name)

Date of birth

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Relationship to Contract Holder

Current residential address

Country

Postcode

E-mail address



C Source of Wealth and Funding

Overall Wealth

Important: In order to manage your account, Old Mutual Isle of Man needs to understand your wealth and how this was acquired. Please complete this section where applicable to ensure that your application can be effectively processed.

Please detail the total wealth of all applicants added together and provide approximate value.

If you are retired or unemployed please provide details of your salary prior to retirement or unemployment commenced.

Applicant/s wealth	Currency and value	Details
Bank deposits/life savings		Bank(s) and their location in which deposits are held
Property (Less mortgage)		Residential property type and location (e.g. apartment in London) and/or buy-to and commercial property description and location (e.g. flat for let in London).
Other assets or investments (including stock market and private company shares)		Description of stocks held and any other high value assets or possessions not covered in the sections above. For self employed applicants, please state the percentage of the business which you own.

Over how many years has your total wealth been accumulated?	<input type="text"/> <input type="text"/> years	<input type="text"/> <input type="text"/> months
How was your wealth acquired? (e.g., savings from salary/bonus, asset/ property sale, gift/inheritance, redundancy/ pension)		

Your additional income	Amount in the relevant currency
Property (rental income)	
Other (e.g., pension payment, dividends, interest)	



C Source of Wealth and Funding (continued)

Full details of the immediate wealth activity generating the source of funds

THIS SECTION MUST BE COMPLETED IN ALL INSTANCES. PLEASE TICK THE DESCRIPTION OPTION(S) RELATING TO THE ORIGINAL SOURCE OF THE CONTRIBUTION FOR YOUR INVESTMENT PORTFOLIO+ (✓).

YOU MUST FULLY COMPLETE EACH RELEVANT SECTION RELATING TO THE DESCRIPTION OPTION(S) YOU HAVE TICKED.

Regular income from employment or profits from your business

Where your wealth is derived from salary /bonus or income please give an indication of Last year's joint salary/income/bonus:

Salary/Income:

Bonus:

<R500,000

<R500,000

R500,000 - R1,500,000

R500,000 - R1,500,000

R1,500,000 - R3,000,000

R1,500,000 - R3,000,000

R3,000,000 - R5,000,000

R3,000,000 - R5,000,000

>R5,000,000

>R5,000,000

- Sale of shares
- Dividends
- Matured/Surrendered /replacement of investment/policy
- Pension Settlement
- Savings

Name of company/Financial Institution that held your shares/investment/policy (for example, bank, stockbroker or insurance company)

Name of person who held the shares/ investment/policy/savings

Investment/Policy/Bank Account Number

Detail how savings was accumulated

Description of shares sold (if applicable)

Reason for policy liquidation or replacement policy (if applicable) (Relevant replacement forms to be completed)

Total amount paid out/Total amount of savings (please specify currency)

Surrender penalty incurred (if any)

Date received

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Savings/shares/investment/policy held for

		years			months
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- Sale of property/interest in company/company dividends

Name of company (if applicable)

Address of property/company sold (including postcode if applicable)

Connection to Company/Property (e.g., owner, shareholder)

Total sale amount dividend declared payment (please specify currency)



C Source of Wealth and Funding (continued)

Nature of business (if company sale)

Total sale amount (please specify currency)

Date of Sale

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Date declared (dividends)

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Amount received from sale
(Please specify currency)

Date received

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Inheritance

Origin of wealth (if ticked gift or other)

Loan

From which organisation or whom
(state the relationship if applicable)

Gift / Donation (details of donor to be provided along with SOW information on the)

Other (please provide detailed explanation below of the activity, including amount and date received. Additional evidence and information may be requested)

Name of donor/deceased

Relationship to donor/deceased

Details (including how the money was acquired/
source of wealth of the premium)

Amount (please specify currency)

Date received

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Date of death (Inheritance)

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

We may also require additional documentary evidence to support your application, particularly in relation to your country of residence, source of funding and investment amount, before we can process it.

Your financial adviser can establish if further documentary evidence is needed by contacting us before sending in your Application Form.

Please detail any additional information obtained.



C Source of Wealth and Funding (continued)

THIS SECTION MUST BE FULLY COMPLETED IN ALL INSTANCES.

The investment amount will be invested after all requirements have been met and, where applicable, after the SARS (South African Revenue Service) Application for a Tax Clearance Certificate has been received.

Source of premium account funding the payment to Old Mutual Isle of Man

Please detail the account from which payment is being made to Old Mutual Isle of Man. Please complete (a) and/or (b) as appropriate.

For Contributions from multiple bank accounts, please photocopy this page and complete for each bank account.

(a) Payment from Applicant

Payment amount Payment currency

Bank name

Bank address

Postcode

Country

Account holder's name (as stated on bank account)

Bank account number/IBAN*

Sort code ** (if applicable) - - SWIFT or BIC code** (if applicable)

Bank account currency

* IBAN stands for International Bank Account Number and is always used in conjunction with a bank identifier code (BIC).
** A sort code is used in the UK in conjunction with a bank account number. A SWIFT code is used outside Europe in conjunction with a bank account number. A BIC code is used in Europe in conjunction with an IBAN.

FX provider (Please ensure this section is completed where an FX provider is used and differs to the bank detailed above).

Premium being paid using an FX provider

Bank name

Account opened to facilitate tax clearance and currency conversion, therefore account details are not known.

(b) Payment from third-party

Third-party payments, such as payments made by persons other than the Applicant(s), are not normally acceptable. However, we may accept payments in the following situations and with the following additional documentation. Please tick as applicable (✓)
Please ensure that any third party payment is correctly referenced to the client or the policy.

- Payment from an account in the client's name at a reputable, regulated financial institution.**
Copy documentation showing details of the investment and the payment transfer may be requested.
(Note payment from unregulated companies or from certain jurisdictions may not be accepted)
- Payment by one spouse to another spouse for investment not in Payor's name.**
The Payor's valid passport or national identity card plus residential address evidence. Please complete the Payor's details in section (a) above. Source of wealth of spouse may be requested.

For each individual payor, you will need to provide a certified copy of the valid passport or national identity card and evidence of residential address.

- Payment from an executor as a result of an inheritance, a settlement, a property sale, or other legitimate source.**
A letter (or certified copy) from the executor confirming the source of funds.



D Contract Information

Contribution

Minimum initial investment amounts

- Direct Funds - £ 15,000 or 20,000 for other currencies (except ZAR)

Currency (✓)	Amount
<input type="radio"/> £	<input type="text"/>
<input type="radio"/> US\$	<input type="text"/>
<input type="radio"/> €	<input type="text"/>
<input type="radio"/> CHF	<input type="text"/>
<input type="radio"/> AU\$	<input type="text"/>
<input type="radio"/> Or enter the ZAR amount	<input type="text"/>

Contract Currency

I request my Contract Currency to be (please tick one of the following).

Contract Currency (✓)

- £
- US\$
- €
- CHF
- AU\$

The Contract Currency cannot be changed once the Contract has been issued.

Your Plan valuation will be summarised in your chosen Contract Currency.

If you choose a Contract Currency other than that in which you made your Contribution, you should be aware that we will notionally convert the Contribution into your chosen Contract Currency. This means we will not physically convert the Contribution; but the converted amount will be the amount which is shown in your Plan Summary.

Any conversions that we make will be based on the closing 'market mid-rate'* provided to us by a third-party currency rate provider, on the working day before we allocate your Contribution to your Contract.

If additional currency conversions are required as a result of transactions within your Contract, you should be aware that delays may occur.

* All Currencies have a market rate. Any provider dealing with Currencies will independently set different 'buy' and 'sell' rates, which include overheads and profit margins. The market mid-rate is derived from the mid-point between the 'buy' and 'sell' rates used in the global markets.

Number of Contracts

You will be allocated 1000 Contracts which is the maximum number allowed.



E Charges

The charging structure and currency that you choose for your Investment Portfolio+ cannot be changed once the Investment Portfolio+ has started and will apply to any additional Contributions which are made.

Financial Adviser Commission and Fees

Please see Terms 18 to 21 of the General Conditions for information on charges which may apply to your Investment Portfolio+ or speak to your financial adviser.

VAT will be included in commission payments where applicable. The charges/fees will be levied at the end of the relevant calendar quarter.

Please indicate your chosen charging structure and complete the relevant information (✓)

(If no box is ticked, the application cannot be processed)

INITIAL FEES

CHARGING OPTION 1

Commission payable to your financial adviser

Maximum of 3% of your contribution amount. (VAT will be automatically added if applicable.)

Initial Commission to be paid %

Any commission being waived should be used to (✓) Reduce the Contract Establishment Charge or

Enhance the Allocation Percentage

OR

CHARGING OPTION 2

Advice Fee

Subject to a maximum of 3% (VAT will automatically be added if applicable.)

- The Advice Fee is an amount that you have agreed to pay your financial adviser for providing you with advice.
- This amount will be deducted by Old Mutual Isle of Man on your instructions prior to investing the Contribution on the Contract Commencement Date and is based on your Contribution.
- For clarity, the Advice Fee is not a commission payment paid by Old Mutual Isle of Man.

Advice Fee %

ONGOING FEE

Investment Review Fee – if selected, payable from inception:

- The Investment Review Fee is an additional ongoing fee that you have agreed to pay your financial adviser for providing you with ongoing advice.
- You have instructed Old Mutual Isle of Man to pay this Fee to your financial adviser by making partial surrenders (refer to the Investment Portfolio+ General Conditions) from your Plan.
- For clarity, this is not a commission payment paid by Old Mutual Isle of Man.
- **Subject to a maximum equivalent of 1% per annum (VAT will automatically be added if applicable) and minimum payment of £ 250.**

% per annum of the value of my Portfolio Fund to be taken



F Investment Choices

Direct Funds (including Model Portfolios)

Available on a Plan with an initial Contribution of at least £ 15,000 or 20,000 for other currencies.

- Please complete Direct Funds/Model Portfolios section below and Section G overleaf.

Please refer to Investment Portfolio+ Fund list for relevant Fund Codes.

The range of funds is split between the Core Fund Range, Researched Range and Self Select Funds.

CORE FUND RANGE

A range of risk-profiled solutions, each fund has an asset allocation designed to maximise the expected returns for specific risk levels. The Core Fund Range caters for a wide range of investors. From investors looking to gain exposure to a diversified portfolio of global equities all the way to cautious investors, and everything in-between.

RESEARCHED RANGE

The Researched Range is a range of funds covering all major asset classes. The fund managers and funds within the Researched Range have been selected from leading international asset management companies.

SELF SELECT RANGE

A wide range of funds from both local and international asset management companies designed to help meet your specific needs with added precision.

Direct Funds or Model Portfolios

Code	Name	Currency	Proportion %
Transaction account percentage*			
			Total
			100%

* Please indicate the % of your Contribution that should be kept in your Transaction Account held with Old Mutual Isle of Man to cover ongoing charges. No interest will be paid for any cash balance in the Transaction Account held with us. Where the deduction of charges leads to a debit balance in the Transaction Account, please see the Investment Portfolio+ General Conditions for further details.



G Appointing a Discretionary Investment Manager – Model Portfolio ONLY

A Discretionary Investment Manager is a person or firm which acts as your agent and provides investment advice to you, or is able to act on your behalf under a discretionary mandate and which gives investment instructions to the Dealing Desk.

Please tick as appropriate (✓) for more information relating specifically to the fees payable.

I appoint my financial adviser as indicated on page 1 of this Application Form to act as my Discretionary Investment Manager (must be appropriately FAIS licensed – Category II)

- Financial advisers acting as Discretionary Investment Managers cannot choose both the Investment Review Fee and the Discretionary Manager Fee.

OR

I appoint a Third Party, to act as my Discretionary Investment Manager as specified below.

OR

I have attached the required signed copy of the mandate between myself and the Model Portfolio Manager.

Name of Discretionary Investment Manager firm	<input type="text"/>
Contact person	<input type="text"/>
Address	<input type="text"/>
	<input type="text"/>
E-mail address	<input type="text"/>
Telephone number	<input type="text"/>

Discretionary Manager Fee

- The Discretionary Manager Fee is an additional ongoing fee that you have agreed to pay your Discretionary Investment Manager for providing you with ongoing advice.
- You have instructed Old Mutual Isle of Man to pay this fee to your Discretionary Investment Manager by making partial surrenders from your Plan (refer to the Investment Portfolio+ General Conditions).
- For clarity, this is not a commission payment paid by Old Mutual Isle of Man.
- Payment will be payable quarterly.
- **Subject to a maximum equivalent to 1% per annum exclusive of VAT.**

VAT to be added: Yes No
(If not ticked Vat will not be added.)

Discretionary Manager Fee starting on

D	D	M	M	Y	Y	Y	Y
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 of % per annum of the value of my Portfolio Fund to be taken

If the amount to be paid is below the minimum partial encashment amount then Old Mutual Isle of Man will accrue the calculated value and pay the Discretionary Manager Fee at the earliest opportunity following the next Quarterly Date on which the minimum partial surrender is met.



H Declaration and application

If there are more than two applicants, please attach a further copy of this page to the Application.

THIS SECTION MUST BE READ, UNDERSTOOD AND COMPLETED IN ALL CASES.

TAX RESIDENCY DETAIL

Each country has its own rules for whether a person is resident for tax purposes or not. It is possible to be resident for tax purposes in more than one country. Depending on the rules of the country, tax residency may be determined by factors such as where you permanently live, where you were born, or if you live and work in the country for more than half the year. If you are a USA citizen or hold a USA passport or green card, you will also be considered resident for tax purposes in the USA even if you live outside the USA, unless you have given up your citizenship.

	Applicant 1		Applicant 2	
SA Resident for Tax	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Yes	<input type="radio"/> No
Income Tax Reference Number	<input type="text"/>		<input type="text"/>	
USA citizen and/or Tax Resident	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Yes	<input type="radio"/> No
Income Tax Reference Number	<input type="text"/>		<input type="text"/>	
Tax Resident in any other Country	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Yes	<input type="radio"/> No
Income Tax Reference Number	<input type="text"/>		<input type="text"/>	
Country	<input type="text"/>		<input type="text"/>	

I declare that:

- The information provided above is correct. If I am a tax resident of any country other than or in addition to South Africa, I have stated this above. I undertake to advise Old Mutual Isle of Man within 30 days should information contained in this form change.
- The premium detailed in this application and any other premium tendered in respect of this application are derived solely from the source of funding provided and have, where required, been declared to the relevant tax authority in my country of residence for taxation.
- The application for an Old Mutual Isle of Man policy is not being made for the purpose of concealing funds, assets or wealth with a view to the evasion of any taxes I am obliged to pay.
- The information provided is correct and true and that I will immediately inform Old Mutual Isle of Man of any change in the information provided for update, including a change in address or residency.

I CONFIRM THAT I HAVE READ AND UNDERSTOOD ALL DETAILS SUPPLIED IN THE APPLICATION FORM INCLUDING SECTIONS I AND J, IN ITS ENTIRETY AND UNDERSTAND ITS IMPLICATIONS AS WELL AS THE INFORMATION INCLUDED IN THE KEY FEATURES AND GENERAL CONDITIONS DOCUMENTS RESPECTIVELY.

Signature(s) of Applicant(s)

Signature of applicant 1	<input type="text"/>	Date	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Country where signed	<input type="text"/>								
Signature of applicant 2 (if any)	<input type="text"/>	Date	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Country where signed	<input type="text"/>								

Please note that we may require additional documentation to verify the applicant(s) identity and address if the documentation we currently hold does not meet with any current regulatory requirements.



INTERNATIONAL



I Verification of customer identity – financial adviser to complete

Old Mutual Isle of Man is subject to anti-money laundering and countering terrorist financing legislation in South Africa and the Isle of Man, in terms of which applicants and related parties must submit suitably certified proof of identity and original or suitably certified verification of residential address.

Please note that we will not be able to set the Plan live until the necessary identification documentation has been provided.

Outlined below are the standard minimum requirements. In some circumstances we may need additional information.

Please tick (✓) to indicate that identification has been supplied for each individual party to the Plan.

Verification of identity

- A suitably certified copy of a valid Passport OR a bar-coded Identity Document (ID) OR Smart Card ID (front and back) for each Contract Holder with visually clear and legible photo likeness. The certifier must certify that the ID is a **true likeness of the person represented and that it is a true copy of the original document.**
- A photograph of the client which clearly shows the person’s face, holding the relevant identity document containing the same picture to demonstrate this actually belongs to the client. A clear scanned copy of the document itself is also to be provided.

Verification of proof of residence

- An original document, electronic copy of a document or a suitably certified copy of the proof of residential address, **not older than three months from date of issue**, for each applicant
(Postal or P.O. Box addresses will not be accepted unless a supply address is shown).

Acceptable documents:

- A recent utility, rates or council tax bill (cell phone bills not acceptable)
- A recent mortgage statement, evidencing the residential address
- A state pension, benefit or other government produced document showing benefit entitlement
- A recent tax assessment document
- A recent account statement from bank or credit card (store cards not acceptable)
- Proof of ownership or rental of the residential address

Note: If the statement or bill is in an e-format it must clearly show the address of the property.

IMPORTANT – CERTIFICATION REQUIREMENTS

The Certifier is required to see the client and the original documents at the time of the certification.

Any photocopies showing photographs and signatures should be clearly legible and photographically clear.

In the certification, preferably but not necessarily in the form of a stamp, the certifier must:

- state that he/she is a Commissioner of Oaths, Advocate, Lawyer, Solicitor, Accountant or Notary Public,
- certify that he/she has met the person represented in the document and that the photograph is a true likeness.
- certify that he/she has seen the original document, and that the copy is a true copy of the original,
- sign and date the certification, and
- provide his/her full name and contact details in the form of a physical address and telephonic contact details.

Additional requirements

Old Mutual Isle of Man will apply additional requirements if any of the following additional risk factors are present:

- The applicant is employed in certain industries which may be considered to be high-risk or sensitive;
- The applicant is classified as a PEP (Politically Exposed Person); i.e. a person who holds or has held high political office, or is or has been employed in a prominent public capacity, or the applicant is closely related to or associated with such a person;
- The applicant is resident in, or the investment funds are sourced from certain territories or countries which may be considered to be high-risk or sensitive.

OMI for office use only

Offshore Specialist/OMI Employee confirmation that you have met with the client

Yes No

D	D	M	M	Y	Y	Y	Y
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I Verification of customer identity – financial adviser to complete (continued)

Declarations – Must be completed by the financial adviser, Old Mutual PFA or OMI Offshore Specialist

I declare that:

- I have met and discussed with the applicant(s) in person. Yes No
or I have met and discussed with the applicant(s) through electronic video conferencing. Yes No
- I have taken reasonable steps to make sure that the funding is legitimate and in line with the applicant's circumstances.
- To the best of my knowledge and belief, all the information provided in and with this application is true and complete and was obtained from the applicant(s) who is/are of good standing. I also confirm that I will provide further information if required.
- I have not made any changes to the Application Form after the applicant(s) has signed it.

I confirm that I gave advice concerning this investment to the applicant(s) in country
 on

I confirm that all information provided was received directly from the applicant(s).

Signature of financial adviser Date

Signature of OMI Offshore Specialist Date

J Data Privacy Notices

Old Mutual Isle of Man Branch Privacy Notice:

The Company: Old Mutual Isle of Man Branch of Old Mutual Life Assurance Company (South Africa) Limited
 Jurisdiction: Isle of Man
 Contact details for the Data Protection Officer:
Telephone: +44 (0) 1624 690200
E-mail: enquiries@impactiom.com
Postal: 5A Village Walk, Onchan, Isle of Man, IM3 4EA

The Company processes your personal data and that of any other party whose personal data has been supplied to us, for the purpose of establishing and servicing the IP+ contract. Personal data will be processed and may be shared with other parties outside of the Old Mutual Group for the following purposes:

- (a) Check against credit reference or databases to verify information provided for regulatory due diligence purposes. Prevention and detection of crime including money laundering, terrorist financing, bribery, or fraud;
- (b) Allow for the provision of services relating to reinsurance, data hosting, online services, payment or reporting of any tax or levy, or provision of any other services to Contract Holders from time to time;
- (c) Enable your appointed financial adviser to assist in the provision of services to the Contract Holder;
- (d) Compile statistical analysis or market research, where information is not specific to an individual.
- (e) Comply with any legal obligation which includes the releasing of personal data to regulators, law enforcement authorities or other bodies where there is a legal requirement to do so.

Transfer of data

Personal data may be shared or transferred both within the Isle of Man and the European Economic Area (EEA) and outside the EEA with members of the Old Mutual Group (OMG) and third parties who provide services to OMG. It should be noted that countries outside the EEA do not necessarily have data protection legislation equivalent to those that apply within the Isle of Man or EEA. In such instances, we will ensure that the transferred personal data is protected by a data transfer contract.

How to withdraw consent

You can withdraw your consent for us holding your personal information at any time.
 Should you withdraw your consent, preventing the processing of your personal data, this may impact the service we provide, or stop us from administering your contract.

Privacy policy

You will find parts of this notice included as part of our full privacy notice on our website (www.omi-int.com/privacyPolicy.html) and in the Investment Portfolio+ General Conditions.

Data Protection Officer (DPO)

You can contact the DPO for a full copy of our privacy notices using the contact details above.



Old Mutual Life Assurance Company South Africa (OMLACSA) Privacy Notice:

The Old Mutual Group may use your information or obtain information about you for the following reasons:

- (a) Underwriting;
- (b) Assessment and processing of claims;
- (c) Credit searches and/or verification of personal information;
- (d) Claims checks (ASISA Life and Claims Register);
- (e) Tracing beneficiaries;
- (f) Fraud prevention and detection;
- (g) Market research and statistical analysis;
- (h) Audit and record keeping purposes;
- (i) Compliance with legal and regulatory requirements;
- (j) Verifying your identity;
- (k) Sharing information with service providers we engage to process such information on our behalf or who render services to us. These service providers may be abroad, but we will not share your information with them unless we are satisfied that they have adequate security measures in place to protect your personal information.

You may access your personal information that we hold and may also request us to correct any errors or to delete this information. In certain cases you have the right to object to the processing of your personal information.

You also have the right to complain to the Information Regulator, whose contact details are:

Website: <https://www.justice.gov.za/inforeg>

General enquiries: inforeg@justice.gov.za

Complaints: complaints.IR@justice.gov.za

To view our full privacy notice and to exercise your preferences, please visit – www.oldmutual.co.za